



Marvin T. Ling

Application No.

09/665,237

Filed

September 18, 2000

For

METHOD AND APPARATUS FOR CONDUCTING

ELECTRONIC COMMERCE TRANSACTIONS USING

ELECTRONIC TOKENS

Mail Stop: PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

MAR 1 6 2004 GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, 1.97 and 1.98, applicant hereby makes the documents identified on the enclosed form PTO/SB/08 (submitted in duplicate) of record in the aboveidentified application. A copy of the documents identified on the enclosed form PTO/SB/08 is submitted herewith. Applicant also submits herewith a translation of the identified document (PCT publication No. WO 01/42946 A2 in German) that was previously submitted to the U.S. Patent and Trademark Office without translation.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Supplemental Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, pursuant to 37 C.F.R. 1.97(b). Therefore, it is believed that no fee is required in connection with this submission. If a fee is required, please charge Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP. An early and favorable action is respectfully requested.

Respectfully submitted,

Raphael A. Valencia, Reg. No. 43,216

Attorney for Applicants

Robert A VI

Luce, Forward, Hamilton & Scripps LLP c/o

11988 El Camino Real, Suite 200 San Diego, California 92130

Phone: 858-720-6300; Fax: 858-720-6306

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE deer the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet

Complete if Known				
Application Number	09/665,237			
Filing Date	18 September 2000			
First Named Inventor	Marvin T. LING			
Art Unit	3628			
Examiner Name	KANOF, Pedro R.			
Attorney Docket Number	31188-1030 (formerly GTX-001 CIP1)			

		^	U.S. PATE	NT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-		-	
		US-	, <u></u>	J	
		US-			
		US-	***		
	<u></u>	US-			RECEIVE
		us-	***************************************	-	THE OF IAPL
		US-			MAR 1 6 2004
		US-			mont & a fact
		US-			277110000
		US-			GROUP 360
		US-			
***************************************		us-			
		us-			
		US-			
	l	us-			<u> </u>

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ [¢]
		WO 01/42946 A2	06-14-2001	STANGL, Norbert		*
		· .				
			***************************************			ļ
***************************************		-				
						
						ļ

***************************************					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ļ
						1

Examiner Signature	Date Considered			
Olgitatuic	00.000.00			

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

See Finds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant's unique citation designation number (optional).

For Indication to applicant. English language Translation is attached.

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/665.237 ENT & TR TRANSMITTAL Filing Date 18 September 2000 **FORM** First Named Inventor LING, Marvin T. Art Unit 3628 (to be used for all correspondence after initial filing) **Examiner Name** KANOF, Pedro R. Attorney Docket Number 31188-1030 (formerty GXT-001 CIP1) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Provisional Application Proprietary Information After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): Copies of cited reference and English Request for Refund **Express Abandonment Request** Translation and return receipt postcard. CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s)

RECEIVED

MAR 1:6 2004

GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Raphael A. Valencia, Reg. No. 43,216 Luce, Forward, Hamilton & Scripps LLP Individual name Signature Date 11 March 2004

Response to Missing Parts/ Incomplete Application

> Response to Missing Parts under 37 CFR 1.52 or 1.53

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

the date shown below.			
Typed or printed name	Amy M Sheridan		
Signature	/ Mus m Vu	Date	11 March 2004

This collection of information is required by 37 EFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

9 3·/9·64CW Atty. Docket No. 31188-1030

PE CLES

NUR 15 2004 2005

PER TRADEMARY

Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Marvin T. Ling

Application No.

09/665,237

Filed

September 18, 2000

For

METHOD AND APPARATUS FOR CONDUCTING

ELECTRONIC COMMERCE TRANSACTIONS USING

ELECTRONIC TOKENS

Mail Stop: PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RECEIVED

MAR 1 6 2004

GROUP 3800

TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the aboveidentified application. This Statement is submitted more than three months from the application filing date, but before the mailing date of the first Office Action on the merits.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit:

March 11, 2004

Signature:

Amy M. Sheridan

The Commissioner is hereby authorized to charge payment of any fees required in connection with the accompanying Information Disclosure Statement to Deposit Account No. 50-2298 in the name of Luce, Forward, Hamilton & Scripps LLP. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Raphael A. Valencia

Reg. No. 43,216

Attorney for Applicants

c/o Luce, Forward, Hamilton & Scripps, LLP 11988 El Camino Real, Suite 200

San Diego, California 92130

Phone: 858.720.6300 Fax: 858.720.6306